

# Dynamical Entropy of Quantum Random Walks

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# Overview

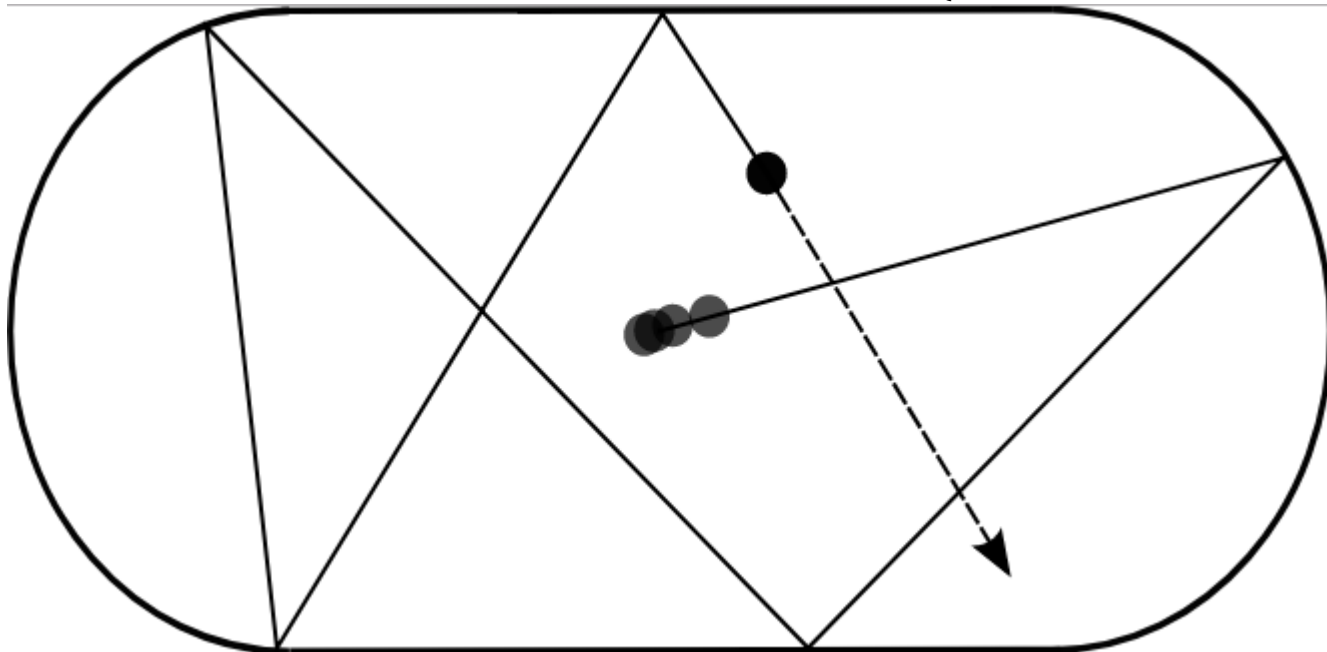
- Dynamical Systems
- Quantum Random Walks
- Entropy
- Applications of Entropy in Classical Information Theory
- Quantum Dynamical Entropy
- Applications in Quantum Information Theory

# Classical Dynamical Systems

$(\Omega, \Sigma, \mu, f)$  = A probability space with a function describing the time dependence of points in that space.

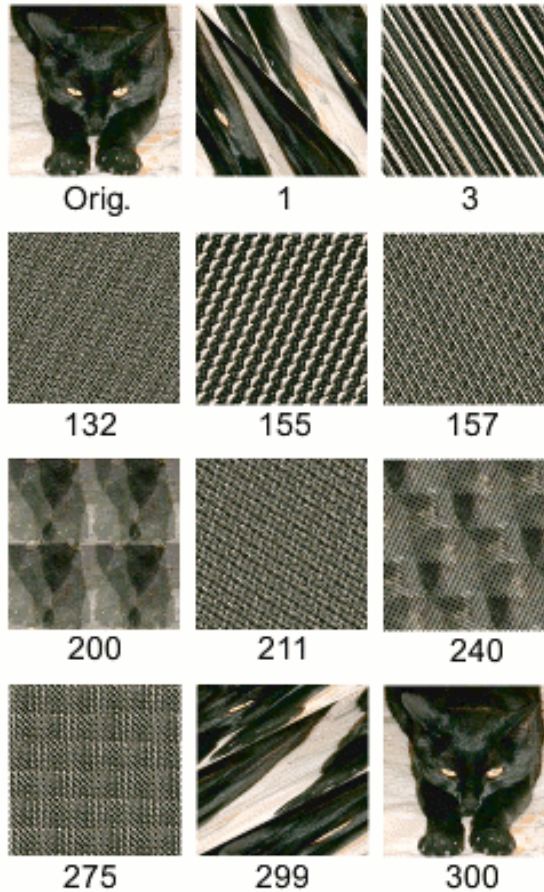
## Frictionless Billiards

$$H(p, q) = \frac{p^2}{2m} + V(q), \text{ where } V(q) = \begin{cases} 0, & q \in \Omega \\ \infty, & q \notin \Omega \end{cases}$$



Source: [https://en.wikipedia.org/wiki/Dynamical\\_billiards](https://en.wikipedia.org/wiki/Dynamical_billiards)

# Arnold Cat Map



Source: By Claudio Rocchini - Own Work (It's not proper Arnold's cat but my black cat, due copyright restrictions),  
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# Classical Random Walks as Dynamical Systems

Space:

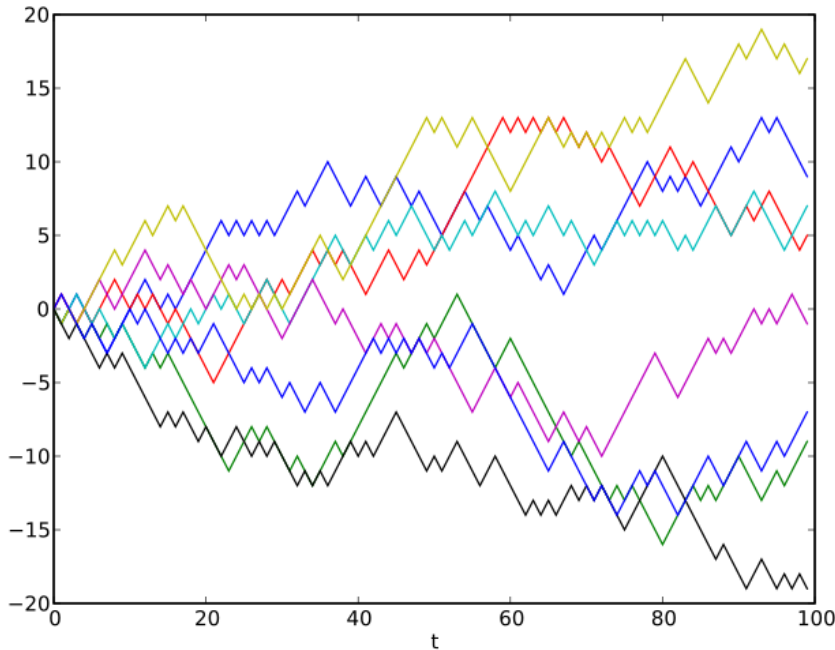
$$\mathbb{Z}$$

Transition Matrix:

$$P = \begin{bmatrix} \ddots & \ddots & \ddots & & & \\ & \ddots & \frac{1}{2} & 0 & \frac{1}{2} & \ddots \\ & & 0 & \frac{1}{2} & 0 & \ddots \\ & & & \ddots & \ddots & \ddots \\ & & & & \ddots & \ddots \\ & & & & & \ddots \end{bmatrix}$$

Initial State:

$$|0\rangle = \begin{pmatrix} \vdots \\ 0 \\ 1 \\ 0 \\ \vdots \end{pmatrix}$$



Space:

$$\mathbb{Z}^{\mathbb{N}}$$

Dynamics:

$$f(x) = y, \text{ where } y_n = x_{n+1} \text{ for all } n \in \mathbb{N}$$

# Formalisms of Quantum Mechanics

Def: Hilbert Space

Complete, Inner Product Space

- Cauchy sequences converge
- Sesquilinear Map

$$\langle \cdot, \cdot \rangle : H^2 \rightarrow \mathbb{C}$$

1.  $\langle x, y_1 \rangle = \overline{\langle y_1, x \rangle}$
2.  $\langle ay_1 + y_2, x \rangle = a\langle y_1, x \rangle + \langle y_2, x \rangle$
3.  $0 \leq \langle x, x \rangle = \|x\|^2$

Ex:

$$\mathbb{C}^n$$

$$\langle x, y \rangle = \sum_{i=1}^n \bar{x}_i y_i$$

$$0 \leq \langle x, x \rangle = \sum_{i=1}^n |x_i|^2$$

# Formalisms of Quantum Mechanics

## Hilbert Space

Def: Linear Functionals

$$\langle y| : H \rightarrow \mathbb{C}$$

“Bra”      “Ket”

$$\langle y| \quad |x\rangle$$

$$\cap \quad \cap$$

$$H^* = H$$

$$\langle y||x\rangle = \langle y, x\rangle$$

Ex:  $|x\rangle \in \mathbb{C}^n$

$$\langle y| \in \mathbb{C}^n$$

# Formalisms of Quantum Mechanics

Hilbert Space

Linear Functionals

Def: Pure State

$$T : H \rightarrow H \quad \text{tr}(T) = 1$$

$$|x\rangle\langle x| \quad \text{tr}(|x\rangle\langle x|) = \langle x, x \rangle$$

$$|x\rangle\langle x| = \begin{pmatrix} x_1 \\ x_2 \\ \vdots \\ x_n \end{pmatrix} (\overline{x_1} \quad \overline{x_2} \quad \cdots \quad \overline{x_n}) = \begin{pmatrix} |x_1|^2 & \cdots & x_1 \overline{x_n} \\ \vdots & \ddots & \vdots \\ x_n \overline{x_1} & \cdots & |x_n|^2 \end{pmatrix}$$

Ex:  $|x\rangle \in \mathbb{C}^n$

$$\langle y| \in \mathbb{C}^n$$

$$|x\rangle\langle x| \in M_n(\mathbb{C})$$



# From Classical to Quantum

Space:  $\mathbb{Z}$   $\longrightarrow$   $\ell_2(\mathbb{Z})$

Probability Distribution

Pure State =  $|x\rangle\langle x|$

State:  $\sum p_i = 1$   $\longrightarrow$   $\sum |x_i|^2 = 1$

Evolution: Transition Matrix  $\longrightarrow$  Positive, Trace-Preserving Operators

# Evolution of a Quantum System

$$\Theta(\rho) = \sum_k A_k \rho A_k^*$$

where  $\sum_k A_k^* A_k = \mathbb{1}$

In particular,

$$\Theta(|x\rangle\langle x|) = U|x\rangle\langle x|U^* = |Ux\rangle\langle Ux|$$

# Quantum Random Walk

Internal Degrees of Freedom:  $H_C = \mathbb{C}^2$  with basis  $\{|\uparrow\rangle, |\downarrow\rangle\}$

Position Space:  $H_P = \ell_2(\mathbb{Z})$

Where the Magic happens:  $H = \mathbb{C}^2 \otimes \ell_2(\mathbb{Z})$

with basis elements  $|\downarrow, n\rangle$  and  $|\uparrow, n\rangle$

# Quantum Random Walk

Coin Space:

$$h = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix}$$

$$h|\uparrow\rangle = \frac{|\uparrow\rangle + |\downarrow\rangle}{\sqrt{2}}$$

Gives equal probability to be in spin up or spin down.

$$h \otimes \mathbb{1}_P = \frac{1}{\sqrt{2}} \left( \begin{array}{ccc|ccc} \ddots & 0 & 0 & \ddots & 0 & 0 \\ 0 & 1 & 0 & 0 & 1 & 0 \\ 0 & 0 & \ddots & 0 & 0 & \ddots \\ \hline \ddots & 0 & 0 & \ddots & 0 & 0 \\ 0 & 1 & 0 & 0 & -1 & 0 \\ 0 & 0 & \ddots & 0 & 0 & \ddots \end{array} \right)$$

# Quantum Random Walk

Shift Operator:

$$S = \sum_{n \in \mathbb{Z}} |\uparrow, n+1\rangle \langle \uparrow, n| + |\downarrow, n-1\rangle \langle \downarrow, n|$$

If particle is in spin up, S will shift it right.

If particle is in spin down, S will shift it left.

$$S = \left( \begin{array}{ccc|ccc} \ddots & 0 & 0 & \ddots & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & \ddots & 0 & 0 & \ddots \\ \hline \ddots & 0 & 0 & \ddots & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & \ddots & 0 & 0 & \ddots \end{array} \right)$$

# Quantum Random Walk

Unitary Operator:

$$U = S(h \otimes \mathbb{1}_p) = \frac{1}{\sqrt{2}} \left( \begin{array}{ccc|ccc} \ddots & 0 & 0 & \ddots & 0 & 0 \\ 1 & 0 & 0 & 1 & 0 & 0 \\ 0 & 1 & \ddots & 0 & 1 & \ddots \\ \hline \ddots & 1 & 0 & \ddots & -1 & 0 \\ 0 & 0 & 1 & 0 & 0 & -1 \\ 0 & 0 & \ddots & 0 & 0 & \ddots \end{array} \right)$$

Now we have options for our initial state even after restricting it to be at the origin.

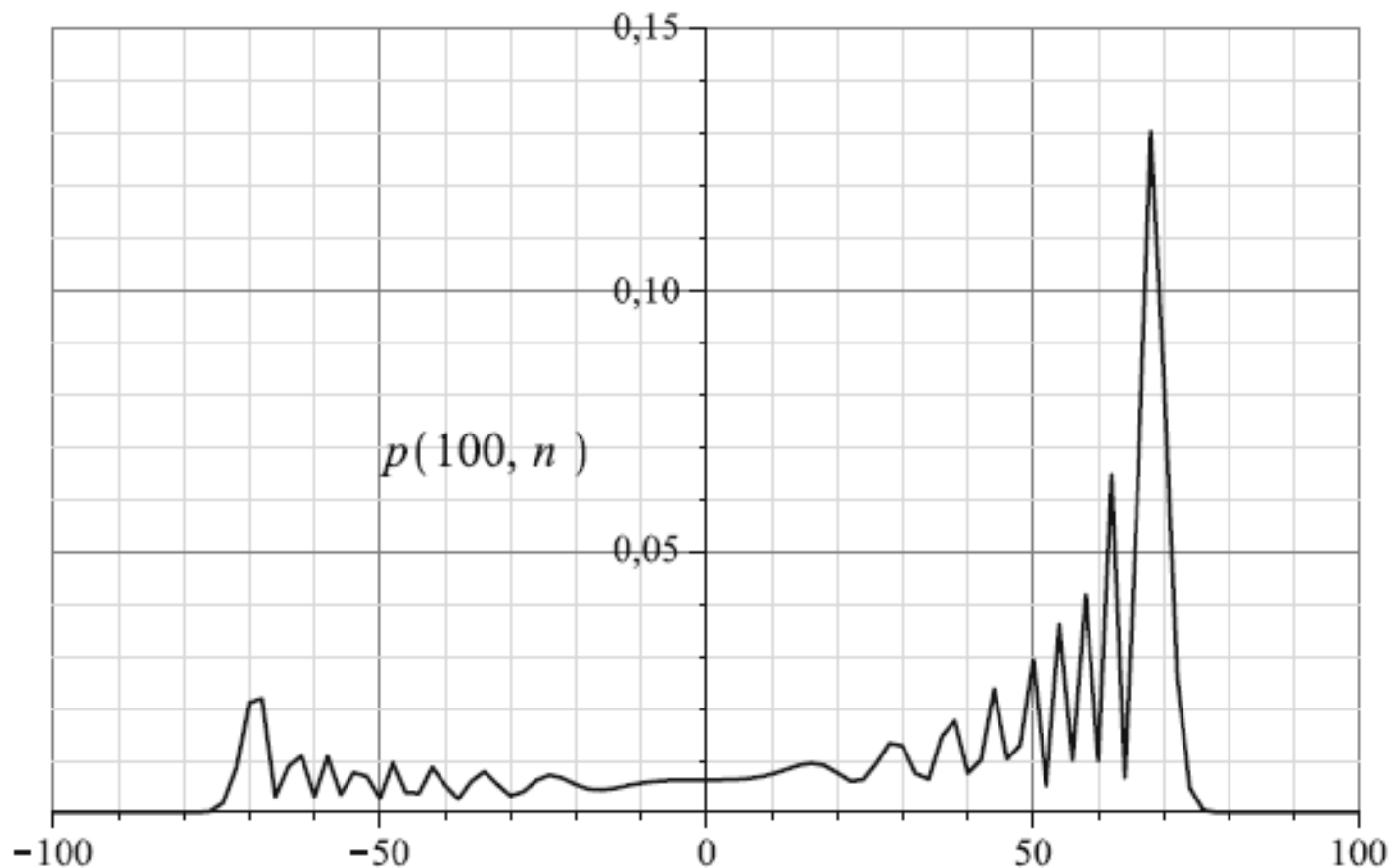
$$|\uparrow, 0\rangle = \begin{pmatrix} \vdots \\ 1 \\ \vdots \\ \vdots \\ 0 \\ \vdots \end{pmatrix}$$

or

$$|\downarrow, 0\rangle = \begin{pmatrix} \vdots \\ 0 \\ \vdots \\ \vdots \\ 1 \\ \vdots \end{pmatrix}$$

# Quantum Random Walk

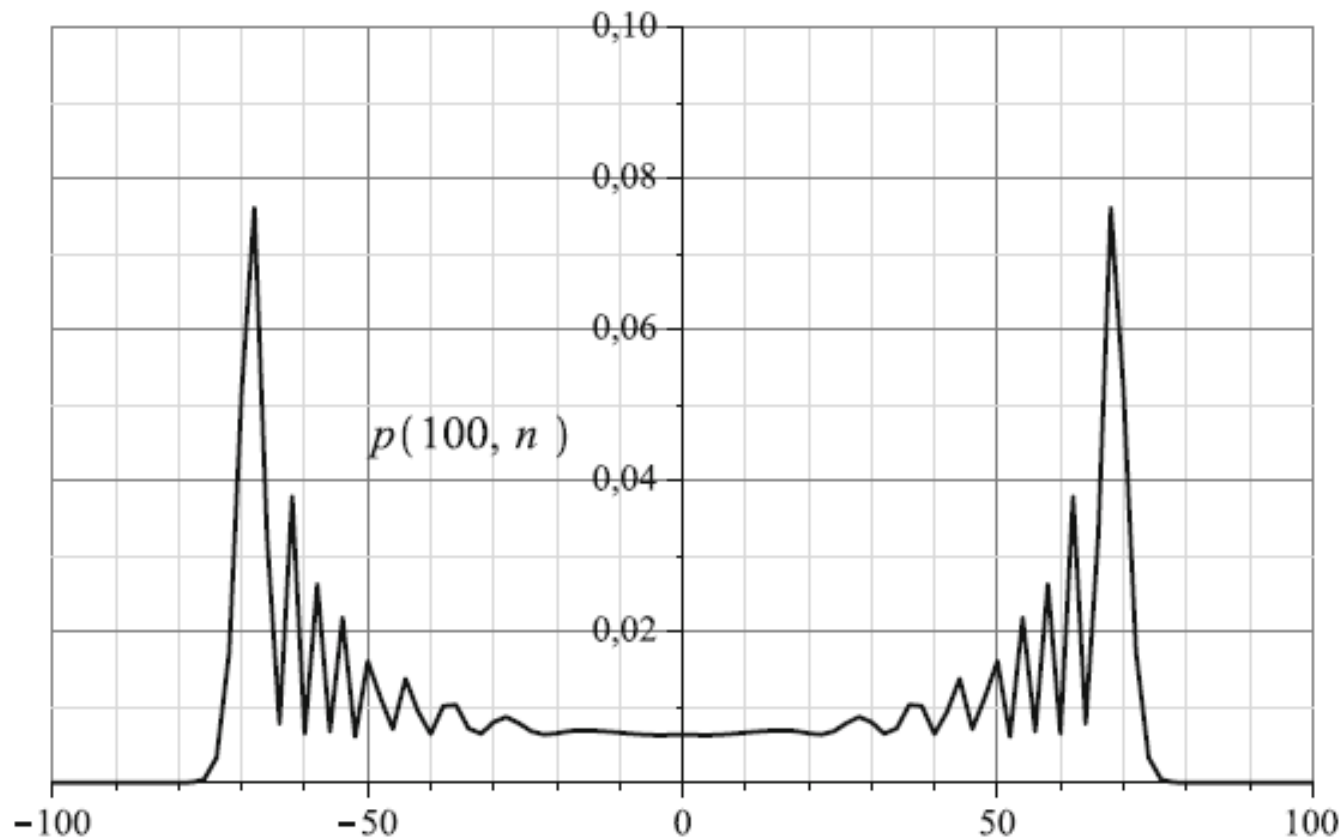
Initial State:  $|\uparrow, 0\rangle$



Source: Renato Portugal (2013): Quantum Walks and Search Algorithms

# Quantum Random Walk

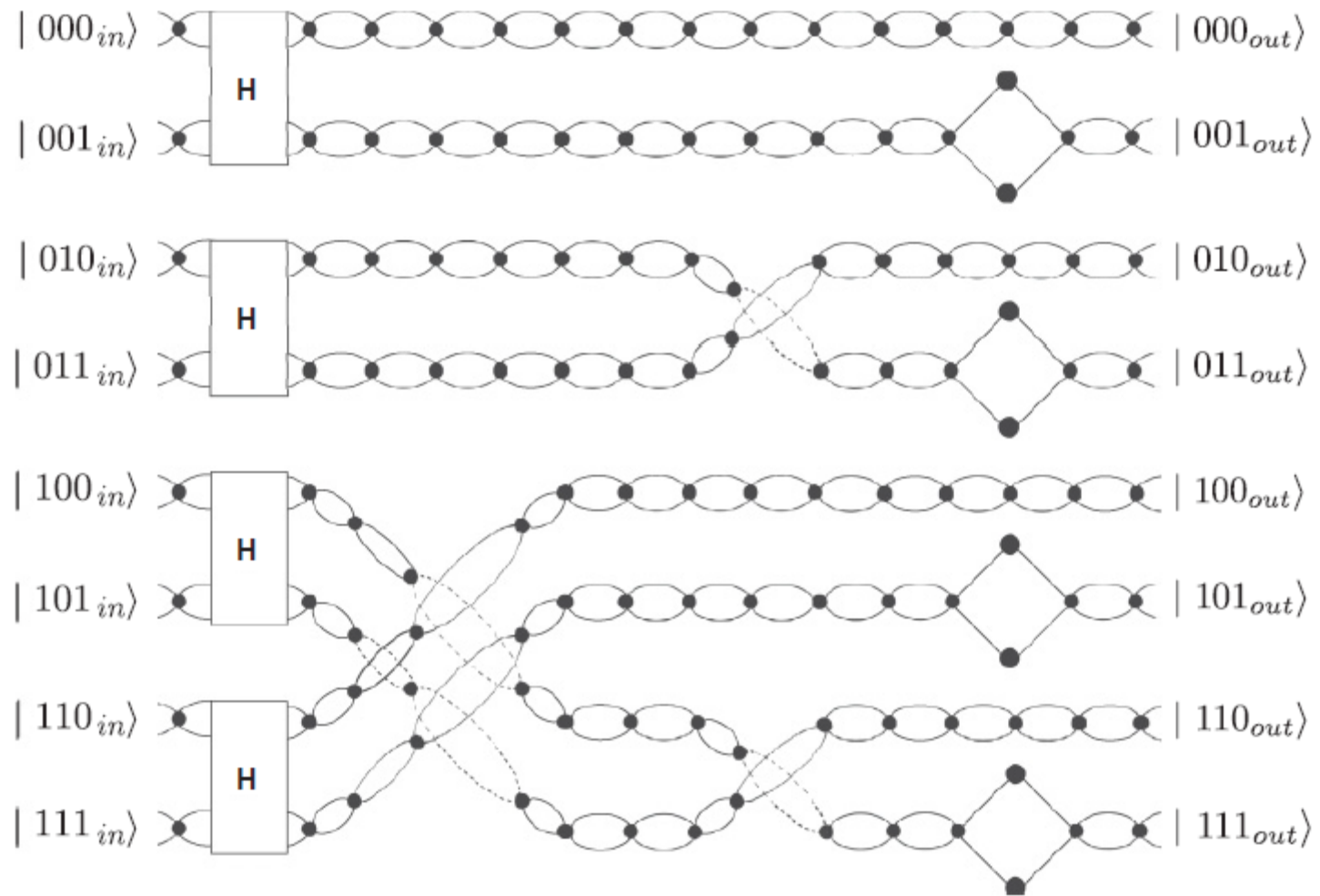
Initial State: 
$$\frac{|\uparrow, 0\rangle + i|\downarrow, 0\rangle}{\sqrt{2}}$$



Source: Renato Portugal (2013): Quantum Walks and Search Algorithms

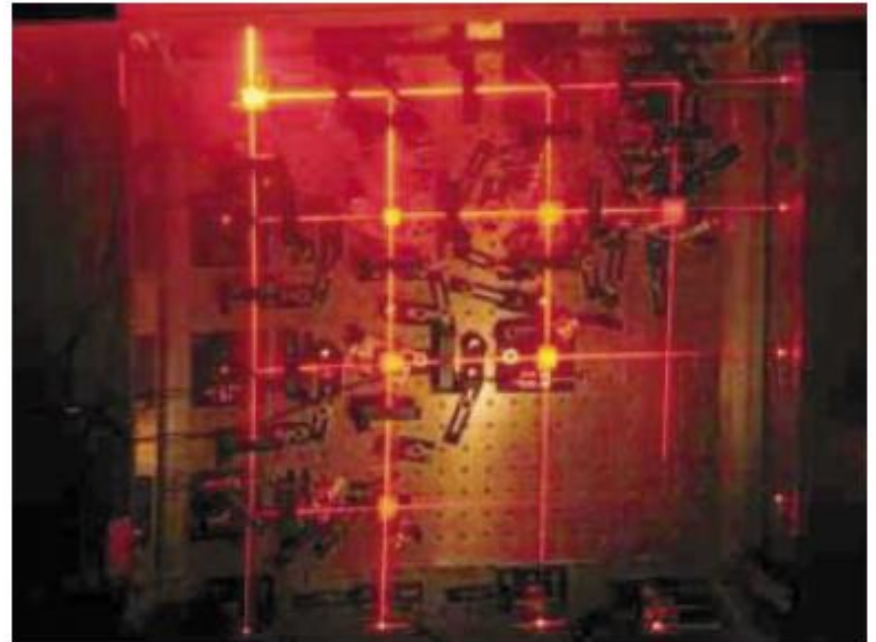
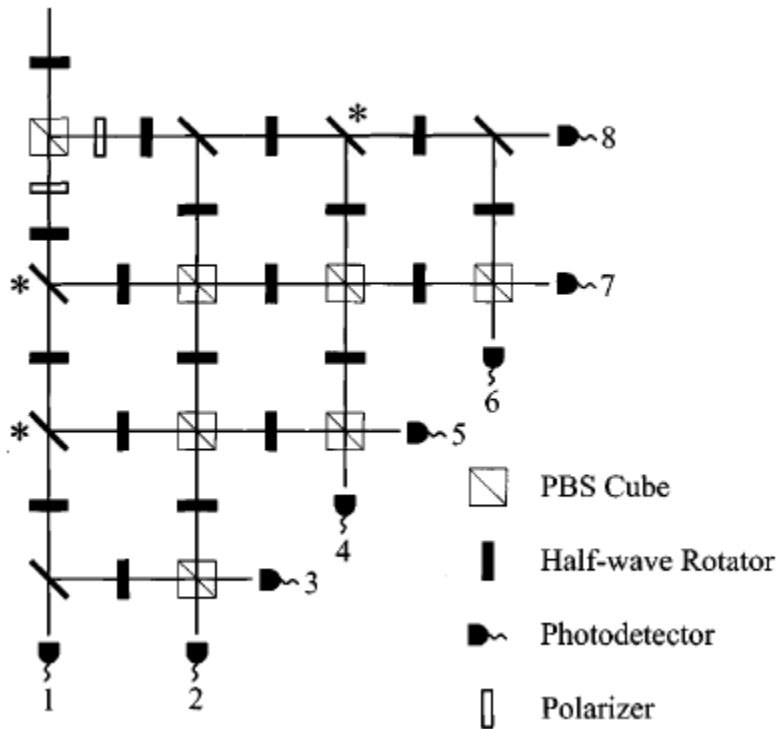


# Universal for Quantum Computation



Source: *Universal quantum computation using the discrete-time quantum walk*, Lovett et. al.

# Implementation in Linear Optics



Source: *Experimental realization of a quantum quincunx by use of linear optical elements*, Do et. al.

# Entropy

We have a classical system whose macrostate is described by the probability measure

$$p = (p_1, p_2, \dots, p_k) .$$

After measuring the system  $N$  times, we expect to see:

- 1st microstate:  $p_1 N$  times
- 2nd microstate:  $p_2 N$  times
- $\vdots$
- $k$ th microstate:  $p_k N$  times

$$\frac{1}{N} \log \frac{N!}{(p_1 N)! (p_2 N)! \cdots (p_k N)!} \xrightarrow{N \rightarrow \infty} - \sum_{i=1}^k p_i \log p_i$$

$$H(X) = - \sum_{i=1}^k p_i \log p_i = \sum_{i=1}^k \eta(p_i)$$

# Entropy Rate

Stochastic Process:  $\mathbf{X} = (X_n)_{n=1}^{\infty}$

Entropy Rate: 
$$H(\mathbf{X}) = \lim_{n \rightarrow \infty} \frac{1}{n} H(X_1, X_2, \dots, X_n)$$
$$= \lim_{n \rightarrow \infty} \sum_{i_1, i_2, \dots, i_n}^k \eta(p_{i_1, i_2, \dots, i_n})$$

Markov Process: 
$$H(\mathbf{X}) = \lim_{n \rightarrow \infty} \frac{1}{n} H(X_1, X_2, \dots, X_n)$$
$$= \sum_{i=1}^k p_i \sum_{j=1}^k \eta(p_{j|i}),$$

where  $p = (p_1, p_2, \dots, p_k)$  is an invariant measure.

Unbiased Random Walk: 
$$H = \sum_{i=1}^k \frac{1}{k} \sum_{j=1}^k \eta(p_{j|i})$$
$$= \log 2$$

# SZ Quantum Dynamical Entropy

Dynamical System: (Schrödinger Picture)

$(\Theta, T, \rho)$  where  $\Theta(\cdot) = U \cdot U^*$ ,  $\rho \in S_1(H)$  and  $T(A) := \sum_{i \in A} P_i \cdot P_i$ .

Probabilities:  $p_{i_1, i_2, \dots, i_n} = \text{tr}(T(i_n) \circ \Theta \circ T(i_{n-1}) \circ \dots \circ \Theta \circ T(i_1) \rho)$

SZ Dynamical Entropy:  $h^{SZ}(\Theta, T, \rho) = \limsup_{n \rightarrow \infty} \frac{1}{n} \sum_{i \in \Omega} \eta(p_{i_1, i_2, \dots, i_n})$

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Theorem 1. (Androulakis, Wright)

Let  $\Theta$  = Hadamard walk on  $N$ -cycle  $H_C \otimes H_P = \mathbb{C}^2 \otimes \mathbb{C}^N$ ,  
and  $T = (P_n)_{n=1}^N$  with  $P_n = \mathbb{1}_C \otimes |n\rangle\langle n|$ , and  $\rho = \mathbb{1}/2N$ .

Then  $h^{SZ}(\Theta, T, \rho) = \log 2$

and  $h^{SZ}(\Theta^2, T, \rho) = \frac{4}{3} \log 2$ .



Nonlinear in time: In classical dynamical entropy we have

$$nh^{KS}(f) = h^{KS}(f^n).$$

# AOW Quantum Dynamical Entropy

## Dynamical System: (Heisenberg Picture)

$(\mathcal{A}, \Theta^*, \phi)$  where  $\Theta^*(\cdot) = U^* \cdot U$  and  $\phi \in S(\mathcal{A})$ .

## Quantum Markov Chains:

$\gamma = (P_i)_{i=1}^d$ ,  $\mathbb{E}: M_d \otimes \mathcal{A} \rightarrow \mathcal{A}$  defined by  $\mathbb{E}\left(\sum_{i,j=1}^d |i\rangle\langle j| A_{i,j}\right) = \Theta^*\left(\sum_{i=1}^d P_i A_{i,i} P_i\right)$

The Markov state  $\phi_\infty \in S(M_d^{\otimes \mathbb{N}})$  is given by

$$\phi_\infty(a_1 a_2 \cdots a_n) = \phi\left(\mathbb{E}\left(a_1 \otimes \mathbb{E}\left(a_2 \otimes \cdots \mathbb{E}\left(a_{n-1} \otimes \mathbb{E}(a_n \otimes 1_{\mathcal{A}}) \cdots\right)\right)\right)\right)$$

Let  $\rho_n \in M_d^{\otimes n}$  satisfy  $\phi_\infty(a_1 a_2 \cdots a_n) = \text{tr}(\rho_n \mathbb{E}(a_1 \otimes \cdots \mathbb{E}(a_n \otimes 1_{\mathcal{A}}) \cdots))$

AOW Dynamical Entropy:  $h^{AOW}(\Theta^*, \gamma, \phi) = \limsup_{n \rightarrow \infty} \frac{1}{n} S(\rho_n)$

where  $S(\rho) = \text{tr}(\eta(\rho))$  is the von Neumann entropy.

# SZ=AOW Dynamical Entropy

## Theorem 2. (Androulakis, Wright)

Given a dynamical system

$$(\Theta, T, \rho) \quad \text{or} \quad (\mathcal{A}, \Theta^*, \phi),$$

$$h^{SZ}(\Theta, T, \rho) = h^{AOW}(\Theta^*, \gamma, \phi).$$

Proof.

$$\begin{aligned}
 p_{i_1, i_2, \dots, i_n} &= \text{tr}(T(i_n) \circ \Theta \circ T(i_{n-1}) \circ \dots \circ \Theta \circ T(i_1) \rho) \\
 &= \text{tr}\left(T(i_{n-1}) \circ \Theta \circ T(i_{n-2}) \circ \dots \circ \Theta \circ T(i_1) \rho \mathbb{E}(E_{i_n, i_n} \otimes 1_{\mathcal{A}})\right) \\
 &\quad \vdots \\
 &= \text{tr}\left(T(i_1) \rho \mathbb{E}(E_{i_2, i_2} \otimes \mathbb{E}(\dots \mathbb{E}(E_{i_n, i_n} \otimes 1_{\mathcal{A}})))\right) \\
 &= \text{tr}\left(\rho \mathbb{E}(E_{i_1, i_1} \otimes \mathbb{E}(E_{i_2, i_2} \otimes \mathbb{E}(\dots \mathbb{E}(E_{i_n, i_n} \otimes 1_{\mathcal{A}}))))\right) \\
 &= \rho_n(i_1, i_2, \dots, i_n; i_1, i_2, \dots, i_n)
 \end{aligned}$$

# Compressability of Data

$$\text{OBJECTS} = S \xrightarrow{C} \text{CODEWORDS} \subset A^+ = \bigcup_{\ell=0}^{\infty} \{0,1\}^{\ell}$$

The Source Code  $C$  is uniquely decodable if its extension  $C^+: S^+ \rightarrow A^+$

$$C^+(x_1 x_2 \cdots x_n) = C(x_1) C(x_2) \cdots C(x_n)$$

is one-to-one, for all  $n$ .

## Kraft-McMillan Inequality.

Any uniquely decodable code with codeword lengths  $\ell_1, \ell_2, \dots, \ell_n$  must satisfy the inequality

$$\sum_{i=1}^n 2^{-\ell_i} \leq 1.$$

Conversely, given lengths that satisfy the above inequality there exists a uniquely decodable code with those lengths.



# Optimal Lossless Codes

## Shannon's Noiseless Coding Theorem.

Given a random variable  $X$ , the optimal source code  $C$  satisfies the inequality

$$H(X) \leq L(C) < H(X) + 1,$$

where  $L(C) = \mathbb{E}[\ell(x)] = \sum_{x \in \mathcal{S}} p(x)\ell(x)$  is the expected length of  $C$ .

## Corollary.

Given a stochastic process  $\mathbf{X} = (X_n)_{n=1}^{\infty}$ , the optimal source code  $C_n$  for the strings of length  $n$  satisfies the inequality

$$H(X_1, X_2, \dots, X_n) \leq L(C_n) < H(X_1, X_2, \dots, X_n) + 1.$$

Therefore average expected length per symbol  $L_n^* = \frac{1}{n}L(C_n)$  is given by

$$H(\mathbf{X}) = \lim_{n \rightarrow \infty} \frac{1}{n} H(X_1, X_2, \dots, X_n) = \lim_{n \rightarrow \infty} L_n^* =: L^*.$$

In particular, if  $\mathbf{X}$  has i.i.d. copies of a random variable  $X$ , then

$$H(\mathbf{X}) = L^* = H(X).$$

# Compressing Quantum Data

$$\text{OBJECTS} = \mathcal{S} \subset H_{\mathcal{S}} \xrightarrow{U} \text{CODEWORDS} \subset H_{\mathcal{A}}^{\oplus} = \bigoplus_{\ell=0}^{\infty} H_{\mathcal{A}}^{\otimes \ell}$$

where  $\mathcal{S} = \{p_n, |s_n\rangle\}_{n=1}^N$  is an ensemble of states in  $H_{\mathcal{S}} = \text{span}\{|s_n\rangle\} = \mathbb{C}^d$  and  $H_{\mathcal{A}} = \mathbb{C}^2 = \text{span}\{|0\rangle, |1\rangle\}$ .

The Quantum Source Code  $U$  is uniquely decodable if its extension  $U^+: H_{\mathcal{S}}^{\oplus} \rightarrow H_{\mathcal{A}}^{\oplus}$

$$U^+(x_1 x_2 \cdots x_n) = U(x_1)U(x_2) \cdots U(x_n)$$

is a linear isometry, for all  $n$ .

We define the length observable  $\Lambda \in B(H_{\mathcal{A}}^{\oplus})$  by

$$\Lambda := \sum_{\ell=0}^{\ell_{\max}} \ell \Pi_{\ell}$$

where  $\Pi_{\ell}$  is the orthogonal projection onto the subspace  $H_{\mathcal{A}}^{\otimes \ell} \subset H_{\mathcal{A}}^{\oplus}$ .

The quantum codeword length of  $|\omega\rangle \equiv U|s\rangle$  for each  $|s\rangle \in H_{\mathcal{S}}$  is given by

$$\ell(|\omega\rangle) \equiv \langle \omega | \Lambda | \omega \rangle .$$

# Quantum from Classical

Let  $C: S \rightarrow A^+$  be a classical uniquely decodable code with  $|S| = \dim(H_S)$ . Then for any orthonormal basis  $\{|e_i\rangle\}_{i=1}^d$  of  $H_S$ ,

$$U = \sum_{i=1}^d |C(x_i)\rangle\langle e_i|$$

is uniquely decodable. Furthermore, the quantum codeword lengths for  $|\omega\rangle \equiv U|s\rangle$  are given by

$$\ell(|\omega\rangle) \equiv \langle \omega | \Lambda | \omega \rangle = \sum_{i=1}^d |\langle e_i | s \rangle|^2 \ell_i.$$

## Theorem 3. (Quantum Kraft-McMillan Inequality)

Any uniquely decodable code  $U$  must satisfy the inequality

$$\text{tr}(U^\dagger 2^{-\Lambda} U) \leq 1.$$

Conversely, if  $U: H_S \rightarrow H_{\mathcal{A}}^\oplus$  is a linear isometry with length eigenstates satisfying the above inequality, then there exists a uniquely decodable quantum code (of the above form) with the same number of length  $\ell$  eigenstates, for each  $\ell \in \mathbb{N}$ .

# Optimal Quantum Lossless Codes

Let  $\mathcal{S} = \{p_n, |s_n\rangle\}_{n=1}^N$  and  $\rho = \sum_{n=1}^N p_n |s_n\rangle\langle s_n|$ .

Suppose  $\rho$  has spectral decomposition

$$\rho = \sum_{i=1}^d \rho_i |\rho_i\rangle\langle \rho_i|.$$

## Theorem 4. (Bellomo, Bosyk, Holik, Zozor 2017)

The optimal classical-quantum source code is given by

$$U = \sum_{i=1}^d |c(i)\rangle\langle \rho_i|$$

where  $\{c(i)\}$  is the classical Huffman code for the probabilities  $\{\rho_i\}$ .

# Optimal Quantum Lossless Codes

## Theorem 5. (Bellomo, Bosyk, Holik, Zozor 2017)

The average length of the optimal quantum source code satisfies the inequalities

$$S(\rho) \leq \ell(\Gamma(\rho)) < S(\rho) + 1,$$

$$\Gamma(\cdot) = U \cdot U^\dagger \text{ and } \ell(\Gamma(\rho)) = \text{tr}(\Gamma(\rho)\Lambda).$$

## Corollary.

The average length of the optimal quantum source code for the i.i.d. ensemble  $\mathcal{S}^{\otimes n}$  satisfies the inequalities

$$nS(\rho) = S(\rho^{\otimes n}) \leq \ell(\Gamma_n(\rho^{\otimes n})) < S(\rho^{\otimes n}) + 1 = nS(\rho) + 1.$$

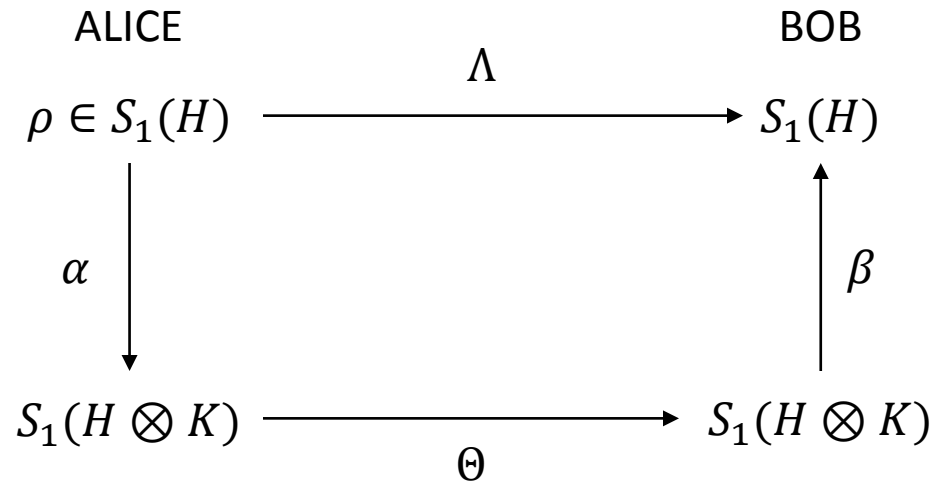
Therefore  $\lim_{n \rightarrow \infty} \frac{1}{n} \ell(\Gamma_n(\rho^{\otimes n})) = S(\rho) = h^{AOW}(\Theta^*, \gamma, \phi)$ , where  $\gamma = (|\rho_i\rangle\langle\rho_i|)_{i=1}^d$ ,

$\Theta^*$  is the Bernoulli shift on  $M_d^{\otimes \mathbb{N}}$  and  $\phi(a_1 a_2 \cdots a_n) = \text{tr}(\rho^{\otimes n} \mathbb{E}(a_1 \otimes \cdots \mathbb{E}(a_n \otimes 1_{\mathcal{A}}) \cdots))$ .

Open Question. Can the above result relating the average length per symbol be

extended to include a stochastic ensemble  $\mathcal{S}^k = \{p_{n_1, \dots, n_k}, |s_1 s_2 \cdots s_k\rangle\}_{n_1, \dots, n_k=1}^N$ ?

# Optical Communication Process



Where

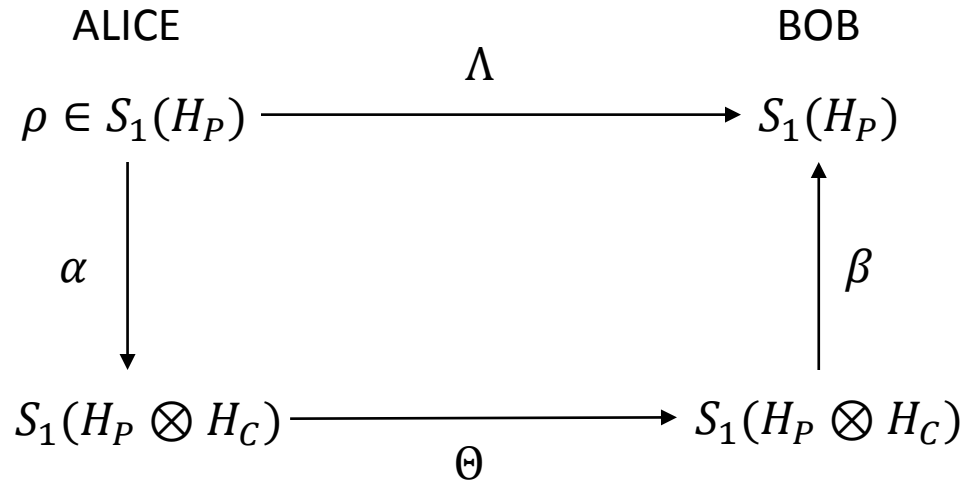
$$\alpha(\rho) = \rho \otimes \nu$$

for some noise  $\nu$  coming from the noisy channel and

$$\beta(\varphi) = \text{tr}_K(\varphi).$$

# Optical Communication Process

Example.



Where  $\Theta(\cdot) = U \cdot U^\dagger$  is the Hadamard walk on the N-cycle given by the unitary  $U = S(1_P \otimes h)$ ,  $\alpha(\rho) = \rho \otimes v$  where  $h v = v$ , and  $\beta(\varphi) = \text{tr}_{H_C}(\varphi)$ .

Letting  $\rho = 1_P/N$ ,  $T_1 = (P_n)_{n=1}^N$  where  $P_n = |n\rangle\langle n|$ , and  $T_2 = (Q_n)_{n=1}^N$  where  $Q_n = |n\rangle\langle n| \otimes 1_C$ , we find that

$$h^{SZ}(\Lambda, T_1, \rho) \neq h^{SZ}(\Theta, T_2, \rho \otimes v).$$

Thank you!